

Please type a plus sign (+) inside this box [+] 12-20-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

12/17/01

j1058 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	NTL-3.2.178/4233 (14915RO)
First Inventor	Andre Van Schyndel
Title	POST DETECTION CHROMATIC DISPERSION COMPENSATION
Express Mail Label No.	EL 781390271 US

j1058 U.S. PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
<i>(submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages [16]
<i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets [6]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [1]
 a. <input checked="" type="checkbox"/> Unexecuted (original or copy)
 b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))
 <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 CFR §§ 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies </p> |
|--|--|

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Copies of IDS Statement (IDS)PTO-1449 Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(should be specifically itemized)*
15. Certified Copy of Priority Document(s) *(If foreign priority is claimed)*
16. Nonpublication Request under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) or prior application No.: _____ / _____

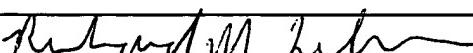
Prior application information: Examiner: _____ Group Art Unit: _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or Correspondence address below

Name and Address: COBRIN & GITTES, 750 Lexington Avenue, 21 floor, New York, New York 10022
(212) 486-4000 - (212) 486-4007 facsimile

Name (print): Richard M. Lehrer	Registration Number (Attorney/Agent): 38,536
---------------------------------	--

Signature: 	Date: December 17, 2001
--	-------------------------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231. G:\Apps\WPDATA\NORTEL\4233\Application Transmittal form.wpd

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$) 710.00	Attorney Docket No.	NTL-3.2.178/4233 (14915RO)
--------------------------------	--------------------	---------------------	----------------------------

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

[X] Charge any additional fee required under 37 CFR 1.16 and 1.17

[] Applicant claims small entity status. See 37 CFR 1.27

2. [X] Payment Enclosed:

[X] Check [] Credit Card [] Money Order [] Other

FEE CALCULATION (continued)
3. ADDITIONAL FEES

Large Entity	Code	Fee Description	Small Entity	Code	Fee Description	Fee Paid
	105	Surcharge - Late filing fee or oath		130	205	65
	127	Surcharge - late provisional filing fee or cover sheet		50	227	25
	139	Non-English specification		130	139	130
	147	Request for Reexamination		2,520	147	2,520
	112	Req. publication of SIR prior to Examiner action		920*	112	920*
	113	Requesting publication of SIR after Examiner action		1,840	113	1,840
	115	Extension within first month		110	215	55
	116	Extension within second month		390	216	195
	117	Extension within third month		890	217	445
	118	Extension within fourth month		1,390	218	695
	128	Extension within fifth month		1,890	228	945
	119	Notice of Appeal		310	219	155
	120	Brief in support of an appeal		310	220	155
	121	Request for oral hearing		270	221	135
	138	Petition to institute a public use proceeding		1,510	138	1,510
	140	Petition to revive - unavoidable		110	240	55
	141	Petition to revive - unintentional		1,240	241	620
	142	Utility issue fee (or reissue)		1,240	241	620
	143	Design issue fee		440	243	220
	144	Plant issue fee		600	244	300
	122	Petitions to the Commissioner		130	122	130
	123	Petitions related to provisional applications		130	123	130
	126	Submit Info. Disclosure Stmt		180	126	180
	581	Patent Assignment per property		40	581	40
	146	Filing a submission after final rejection 37CFR1.129(a)		710	246	355
	149	Each additional invention to be examine 37CFR129b		710	249	355
	179	Request for Continued Examination (RCE)		710	279	355
	169	Request for expedited examination of a design appln.		900	169	900
	Other fee (specify) _____					

** or number previously paid, if greater; for reissues, see below

Large Entity	Small Entity	Fee Description		
Code	(\$)	Code	(\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original
SUBTOTAL (2)				(\$) 0

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid **SUBTOTAL (3)**

SUBMITTED BY			Complete (if applicable)		
Name (Print Type)	Richard M. Lehrer	Registration Number	38,536	Telephone	(212) 486-4000
Signature			Date	December 17, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents, Washington, DC 20231.
G:\Apps\WPDATA\NORTEL\4233\Application Fee Transmittal.wpd